

Recovery of lithium, cobalt and other metals from lithium-ion batteries

Lityum-iyon pillerden lityum, kobalt ve diğer metallerin kazanımı

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Abstract

Wastes with high metal content are an important secondary source. Utilisation of these wastes is important offering environmental and economic advantages as well as the conservation of natural resources. Due to the widespread use of portable electrical and electronic devices (mobile phones, laptops, video cameras, etc.) and electric cars, the consumption of lithium and cobalt, which are used as main components in lithium-ion batteries/batteries (LIB), has increased. Because LIBs contain lithium (1.5-7%), cobalt (5-20%), manganese (15-20%), copper (8-10%), aluminium (5-8%), and nickel (5-10%), they are considered as an important secondary source. Industrially, mechanical pretreatment, pyrometallurgical and hydrometallurgical methods as alone or in combination are used to recover metals from waste LIBs. After mechanical pretreatment and physical separation processes, hydrometallurgical methods, including solution purification, precipitation and solvent extraction methods, are used after leaching with inorganic such as H₂SO₄, HCl and HNO₃ or organic acids. In this study, processes for recovery of metals from LIBs are discussed with a critical review of studies carried out on this. In addition, flowsheets of industrial applications for lithium/cobalt recovery in the world are presented.

Keywords: Li-ion battery, Recycling, Hydrometallurgy, Leaching, Lithium, Cobalt.

Öz

Yüksek metal içeriklerine sahip olan atıklar önemli bir ikincil kaynak konumundadırlar. Bu atıkların değerlendirilmesi, çevresel ve ekonomik avantajlarının yanı sıra doğal kaynakların korunması açısından da önemlidir. Taşınabilir elektrikli ve elektronik cihazların (cep telefonları, dizüstü bilgisayarlar, video kameralar vb.) ve elektrikli otomobillerin yaygınlaşmasına bağlı olarak bunların temel bileşeni olan lityum-iyon pillerde/bataryalarda (LIB) kullanılan lityum ve kobalt tüketimleri de artmıştır. LIB'ler, lityum (%1,5-7), kobalt (%5-20), manganez (%15-20), bakır (%8-10), alüminyum (%5-8) ve nikel (%5-10) gibi metalleri içermesinden dolayı önemli bir ikincil kaynak olarak değerlendirilmektedirler. Atık LIB'lerden metallerin geri kazanımında endüstriyel olarak mekanik ön-işlem, pirometalurjik, hidrometalurjik veya bunların birleşimden oluşan yöntemler kullanılmaktadır. Mekanik ön-işlem ve fiziksel ayırma işlemlerinden sonra H₂SO₄, HCl ve HNO₃ gibi inorganik ya da organik asitlerle liç sonrası çözelti saflaştırma, çöktürme ve solvent ekstraksiyon yöntemlerini içeren hidrometalurjik yöntemler kullanılmaktadır. Bu çalışmada, LIB'lerden metallerin geri kazanım prosesleri ve yapılmış farklı çalışmalar tartışılmıştır. Ayrıca, Dünya'da lityum/kobalt kazanımının gerçekleştirildiği endüstriyel uygulamalardan akım şemaları sunulmuştur.

Anahtar kelimeler: Lityum-iyon pil, Geri Kazanım, Hidrometalurji, Liç, Lityum, Kobalt.

1 Introduction

Due to the rapid depletion of natural resources to meet the ever-increasing demand, some metals/raw materials used in advanced technologies have become "critical metal/raw materials". Alternative sources need to be sought to provide sustainability in industrial development. Scraps with high content of metals are often regarded as an important secondary resource. In addition to its environmental and economic benefits, the exploitation of the resource potential of scraps is significant for the conservation of natural resources and the sustainable development of metals and related industries.

Due to the widespread use of portable electrical/electronic equipment (mobile phones, laptops, video cameras, etc.) and electric vehicles (EV, PHEV, HEV), the importance of lithium-ion batteries (LIBs), which are the essential components of these devices, have particularly increased in recent years [1],[2]. In effect, the growth in the automotive sector with the development of electric vehicles/automobiles has led to the widespread use of these batteries. This has concomitantly

increased the demand and consumption of battery raw materials.

LIBs are widely used due to their high electrical density, high operating voltage and long cycle life. In 2017, 43% (19,870 tons) of lithium supplied in the world was used in battery production, and this value is estimated to increase to 65% (61,123 tons) in 2025 [3]. Similarly, about 43% of the cobalt produced in 2014 was used in battery production [4]. Cobalt prices soared by approximately 500% between 2016 and 2018 in concordance with the ever-increasing demand for lithium-ion batteries [5]. The EU predicts that 18 times more lithium and 5 times more cobalt by 2030, and almost 60 times more lithium and 15 times more cobalt by 2050 will be needed in electric vehicle batteries and energy storage systems [6].

LIBs that typically complete their economic life within ~3-8 years) harm the environment and human health since they contain heavy metals (Cu, Pb, Cd, Zn) in high quantities and toxic electrolytes. Therefore, proper management of end-of-life LIBs is of uttermost importance for the protection of the environment and the exploitation of its economic potential. In

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this regard, LIBs containing lithium (1.5-7%), cobalt (5-20%), manganese (15-20%), copper (8-10%), aluminium (5-8%), and nickel (5-10%) are considered as a ready secondary source.

In this study, an overview of LIBs is presented. The treatment of LIBs for the recovery of the contained metal values is comprehensively reviewed. Worldwide industrial processes developed for the recovery of metals from LIBs are also discussed.

2 Overview of lithium-ion batteries

LIBs are electrochemical cells that can reversibly convert chemical energy into electrical energy through a redox reaction. They are essentially energy storage systems developed for the highest energy density among rechargeable batteries (Figure 1).

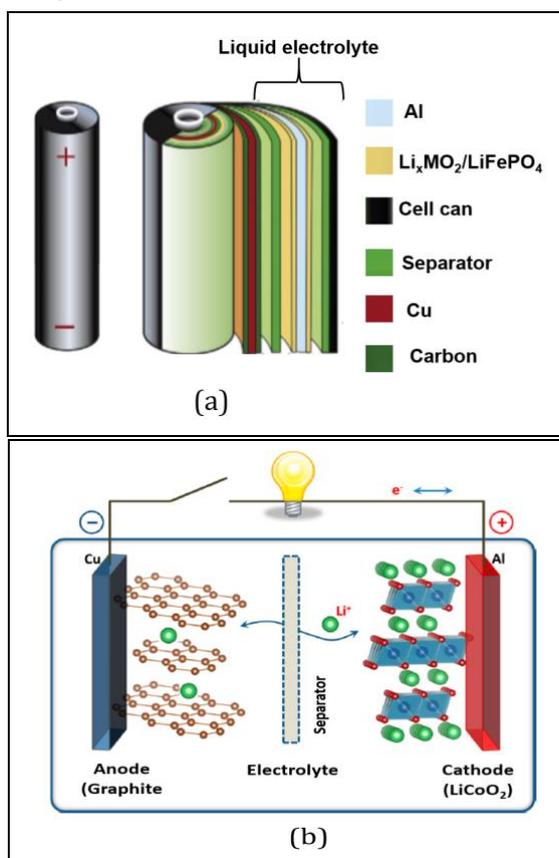
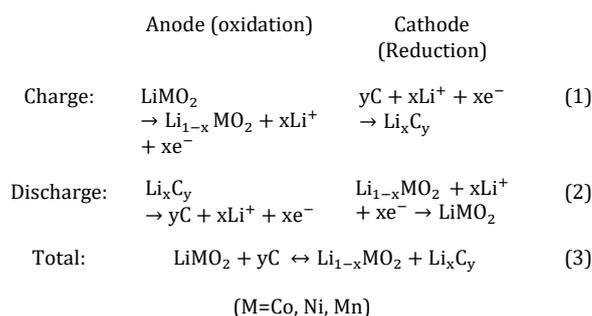


Figure 1. Schematic views of; (a): A cylindrical lithium-ion battery; (b): The operation of a lithium-ion battery ($\text{LiCoO}_2/\text{Li}^+$ electrolyte/graphite) [9].

As a result of the oxidation (electrode to electrolyte) and reduction (electrolyte to electrode) reactions, ion movement occurs between the anode and the cathode by the movement of electrons. Lithium ions move between the anode and the cathode in a rechargeable LIB. While the battery is charged by the movement of lithium ions from the cathode, which is commonly a lithium-metal-oxide material, towards the anode (mostly graphite), it is discharged in the opposite case. When using the battery, lithium ions (Li^+) move from the negative electrode to the positive electrode, while the current moves in the opposite direction Figure 1(b). The oxidation-reduction reactions taking place at the anode and cathode are given in Equations 1-3, [14],[19]-[21].



LIBs consist of different components such as positive electrode (cathode), negative electrode (anode), electrolyte, and separator in addition to the iron or aluminium coating (Figure 1), (Table 1), [1]-[6].

Table 1. Lithium-ion battery components and metal contents [27],[33],[34],[37],[49],[53]-[61].

Component	Content	Content, %
Coating	Fe-Ni alloy	20-26
	Al	5-23
Cathode		25-33
Aluminium	Al (Current collector foil)	5-8
Binder	PVDF	1-2
Metal Oxide (80-85%, LiMO_2 , M=Co, Ni, Mn)	Li	1.5-7
	Co LCO (LiCoO_2 , 33.5%)	5-20
	Ni LNO (LiNiO_2)	1-15
	NCA ($\text{LiNi}_{0.8}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$)	
	Mn LMO (LiMnO_2)	5-10
	NMC ($\text{LiNi}_x\text{Co}_y\text{Mn}_z\text{O}_2$)	
LiFePO_4		
Polymeric separator	Micro-pores polyethylene or polypropylene	4-10
Electrolyte		10-15
Li salts	LiPF_6 , LiAsF_6 , LiClO_4 , LiBF_4	
Organic Solvents	DMC-EC, PC-DME, BL-THF, EMC, DEC ⁽¹⁾	
Anode		15-30
Copper	Cu (Current collector foil)	8-10
Binder	PVDF	1-2
Graphite		15-17

¹: DMC-EC: dimethyl carbonate-ethylene carbonate; PC-DME: propylene carbonate-1,2-dimethoxyethane; BL-THF: butyrolactone (BL) tetrahydrofuran (THF), EMC=ethyl methyl carbonate; DEC=diethyl carbonate; PVDF: Polyvinylidene fluoride.

Materials such as LiMO_2 (lithium metal oxide, M: Co, Ni, Mn) and LiFePO_4 have been developed as cathode materials [7]-[10]. LiCoO_2 (LCO) cathode batteries with graphite anode, which were first developed in 1991 are the most widely used batteries. The production of 32,000 tons of LCO cathodes were reported in 2013 [11]. $\text{Li}(\text{Ni}_{0.33}\text{Mn}_{0.33}\text{Co}_{0.33})\text{O}_2$, LiMn_2O_4 and LiFePO_4 are also currently widely used cathode materials (Table 1) [11]-[13]. High voltage electrodes such as LiNiPO_4 , LiCoPO_4 , $\text{LiNi}_{0.5}\text{Mn}_{1.5}\text{O}_4$, LiCoMnO_4 are proposed for use in batteries requiring high energy density [14]. Depending on the composition of the cathode, anode or electrolyte, the lithium content varies between 50-563 g/kWh (according to the specific battery capacity) [15]-[18].

Graphite is the most preferred anode material in LIB's due to its low cost and low-voltage reaction with lithium. Anodes such as silicon, tin and lithium titanate (Li_2TiO_3) could also be used in place of graphite [1],[22].

The electrolyte provides the transmission of lithium ions between the anode and the cathode. The electrolyte contains a lithium salt dissolved in an organic solvent mixture (e.g., ethylene carbonate and dimethyl carbonate) [23]. The most commonly used lithium salts are LiClO_4 , LiAsF_6 , LiBF_4 and LiPF_6 [24]. The separator is a microporous polymer membrane that allows lithium ions to pass through the pores and prevents short circuits between the cathode and the anode [25]-[27].

3 Recovery of metals from LIB's

World lithium-ion battery production increased 800% between 2000 and 2010 [28]. Spent LIBs are considered important secondary resources because they contain metals such as lithium, cobalt, manganese, copper, aluminium and nickel [3],[9]-[34]. Current industrial applications are mostly for the recovery of the contained metal values from lithium-cobalt-oxide (LCO) and nickel-manganese-cobalt oxide batteries (NMC) [35]-[44]. Mechanical pretreatment, pyrometallurgical processes, hydrometallurgical processes or their combination are used to recover metals from waste LIBs. The main steps applied for the hydrometallurgical recovery of metals from lithium-ion batteries are:

- i. Disassembly of the battery after the cathode discharge,
- ii. Extraction of the electrolyte and salt by vacuum distillation,
- iii. Dissolution of the binder to separate the Cu and Al collectors from the electrodes,
- iv. Grinding of the electrode material,
- v. Physical separation,
- vi. Leaching,
- vii. Solution purification,
- viii. Recovery of metals by precipitation, crystallisation or electro-winning methods (Figure 2), [12]. The crushing and physical separation steps are applied to obtain a material enriched in metals (Li, Co, Ni, Mn) [12],[34],[45]-[49].

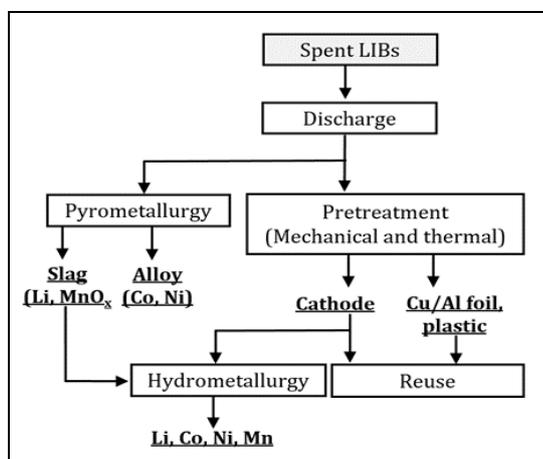


Figure 2. Recovery of metals from lithium-ion batteries [2],[4].

Pyrometallurgical processes often suffer from high energy consumption/cost, toxic gas and dust output. In these respects, hydrometallurgical processes offer some advantages, such as low toxic gas emissions and relatively low investment costs, with their suitability for small-scale applications. Metal recovery efficiencies of pyrometallurgical processes could be lower than hydrometallurgical ones. On the other hand, there is no need to separate batteries in pyrometallurgical processes. Although extensive research/development studies are directed for hydrometallurgical processes, most industrial recycling processes are based on pyrometallurgical processes [11, 50-52].

3.1 Pretreatment

Before the recovery of metals from lithium-ion batteries, the battery is discharged using saturated salt solutions such as NaCl and Na_2SO_4 to prevent risks such as spontaneous combustion/explosion and short-circuiting [22],[37],[62]-[65]. Thereafter, mechanical pretreatment and separation processes such as crushing, screening, magnetic separation, fine crushing and classification are applied to ensure the separation of certain parts and fragmentation/decomposition of batteries [49],[65], [66]. Flotation is also suggested for separation/recovery of graphite from the fine fraction ($<75\mu\text{m}$) [22]. After physical separation processes, the cathode can be leached with alkaline reagents such as either N-methyl-2-pyrrolidone or NaOH to separate the aluminium foil [34],[38],[64]-[68]. Since organic binders (such as PVDF) cause problems during the leaching and solid-liquid separation stages, thermal pretreatment is tested for the removal of these organic compounds and graphite [45],[50],[69],[70]. In addition, the presence of graphite is reported to cause low lithium recovery in leaching processes [37]. Batteries are heated at $300\text{ }^\circ\text{C}$ and burned to evaporate solvents and electrolytes [63]. The battery electrolyte (LiPF_6) can be also dissolved in organic solvents, but it may react with water to form toxic gases such as pentafluorine arsenic, pentafluorophosphate and hydrogen fluoride (HF), causing lithium losses (Equation 4). Therefore, recovery of the electrolyte often incurs high costs with environmental risks [2].



3.2 Recovery of metal by hydrometallurgical methods

In the recovery of metals from the material obtained after mechanical pretreatment and physical separation processes, inorganic acids such as H_2SO_4 [56], HCl [71], and HNO_3 [72], organic acids [73] such as oxalic acid [74], formic acid and malic acid [75], and alkaline (NaOH) reagents are used in the development of leaching processes. In addition, ascorbic acid is also suggested as a reducing agent in leaching with glycine, which is an amino acid. Hydrometallurgical methods including solution purification, precipitation, solvent extraction and ion exchange can be utilised after leaching (Figure 3), [34],[37],[67],[75]-[81]. Inorganic acids are more advantageous than organic acids because they are comparatively cheaper.

Leaching of lithium-cobalt oxide is often difficult due to the strong chemical bond between cobalt and oxygen. Although Co^{3+} is more dominant in the cathode material, Co^{2+} dissolves more rapidly in leaching solutions at room temperature [4]. Therefore, Co^{3+} needs to be reduced to Co^{2+} to increase the leaching efficiency. For this purpose, a wide variety of

reductants including H₂O₂ [56],[71],[82]-[85], Na₂S₂O₃ [28], NaHSO₃ [47],[86]-[88] and Na₂S₂O₅ [89] are used.

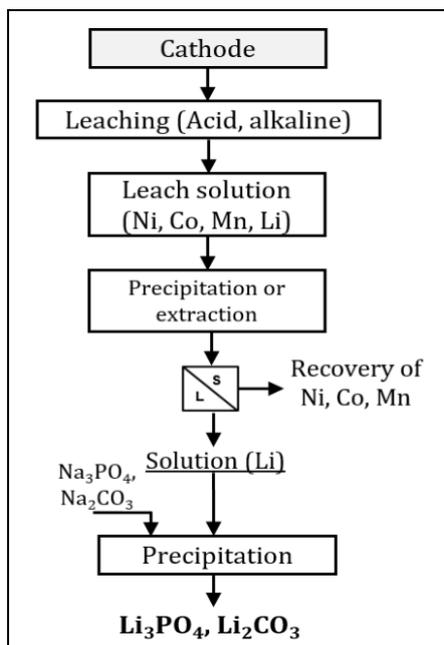
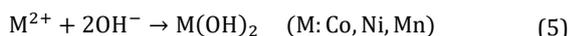


Figure 3. Recovery of metals from lithium-ion batteries by hydrometallurgical methods [2].

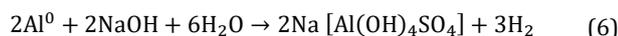
In recent years, D-glucose [90],[91], cellulose [92], Phytolacca Americana [92], grape seeds [93], orange peels [94], and waste tea [92] have been tested as alternative reducing agents [95].

Leaching solutions containing metals such as Li, Co, and Ni are subjected to purification and metal recovery steps. Dissolved cobalt is recovered by precipitation with NaOH (4M NaOH, pH: 11-12) as cobalt hydroxide Co(OH)₂ (Equation 5) and lithium remaining in the solution is recovered as lithium carbonate [96],[97].



3.2.1 Alkaline leach

The electrodes are first treated with N-methyl-2-pyrrolidone (NPM) to separate the active material from the Al and Cu foils and dissolve the binder [98]. After this stage, metals such as aluminium and copper are recovered before leaching lithium and cobalt. Alkaline (NaOH) leaching can be exploited for selective recovery of aluminium from cathode material (Equation 6) [20],[99]. The dissolved aluminium is then recovered by precipitation with NH₄OH (pH: 5), [36]. Nayl et al. [100] obtained 98% Al and 65% Cu recovery with ammonia (NH₄OH) solution (4 M NH₄OH, 80 °C, 66.6 g/L, 60 min.) with Co and Li remaining in the solids. After selective leaching of Cu and Al, the solids are further leached using various acids for the extraction of Li, Co and other metal values present.



3.2.2 Inorganic acids for leaching

Inorganic acids such as sulphuric acid (H₂SO₄) [20],[36],[49],[62],[101]-[104], hydrochloric acid (HCl) [14],[96],[105], nitric acid (HNO₃) [45],[106]-[108], and phosphoric acid (H₃PO₄) [64],[65] are extensively studied for the recovery of metals from lithium-ion batteries. This can be

attributed to their relatively low cost, effectiveness as leaching reagents, well-known chemistry of reactions and ready availability of downstream treatments for solution purification and metal recovery processes.

3.2.2.1 Sulfuric acid leaching

As the cheapest inorganic acid, sulphuric acid (H₂SO₄) is widely used in the leaching of metals such as copper, cobalt and nickel from primary or secondary sources. It is also the most widely studied reagent for the leaching of metals from the cathode material of lithium-ion batteries (Table 2). High acid concentrations (2-4 M H₂SO₄) are often required to achieve high metal extractions and concomitantly, pregnant leach solutions obtained contain high levels of sulphate [4],[109]. In addition, due to the high acidity, a high amount of neutralising reagent is often warranted for the neutralisation of leach solution prior to the downstream recovery of metals. Inorganic reducing reagents such as H₂O₂ (1-15%), NaHSO₃ and Na₂S₂O₅ are added as reductants in sulphuric acid leaching to achieve a high rate and extent of metal (e.g. cobalt) extractions [20],[89],[102],[103],[110],[111]. The dissolution reactions of lithium and cobalt with sulphuric acid leaching from LiCoO₂ are given in Equations 7 and 8 [62]. Figure 4 illustrates a typical flowsheet for treatment of LIBs based on sulphuric acid leaching.

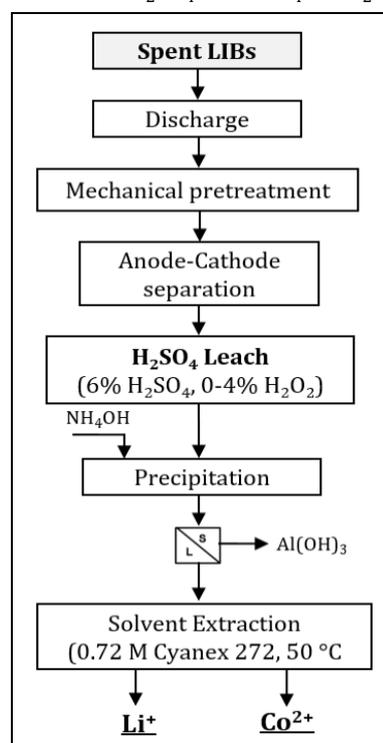
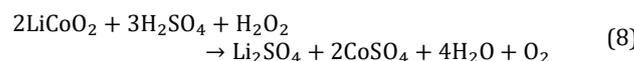
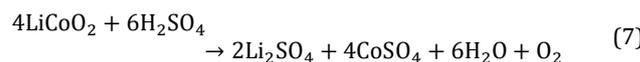
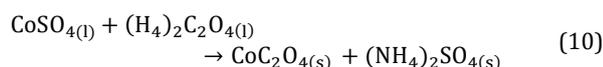


Figure 4. Recovery of metals from lithium-ion batteries by H₂SO₄ leaching [67].

The leaching characteristics and conditions can be predicted by the Eh-pH diagrams. Figures 5 illustrates the stability diagram of an individual metal ion in the presence of other constituents at 298 K and 50 g/L solids for the sodium bisulfide.

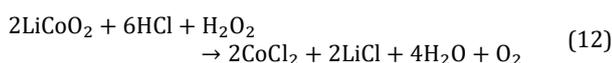
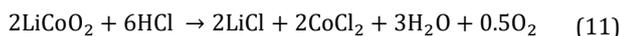
Chen et al. [115] (2018) achieved 54%, 96%, 98% Co and 100%, 100% and 96% Li recoveries using cellulose, sucrose and glucose (0.4 g/g), respectively as reducing agents in sulphuric acid leaching (3 M, 95 °C, 25 g/L, 2 h). Glucose and sucrose were shown to have better reducing properties than cellulose (Glucose>sucrose>cellulose). Chen et al. (2019) [116] recovered 90% Co and all of Li, Ni and Mn using waste tea (0.3 g/g) as a reductant in sulphuric acid leaching (2 M, 90 °C, 50 g/L, 2 h). They stated that the polyphenols in tea have reducing properties. Various downstream treatment options appear to be available for purification and metal recovery from pregnant acid leach solutions. Cobalt can be precipitated as $\text{CoC}_2\text{O}_4 \cdot 2\text{H}_2\text{O}$ (Equation 10) by ammonium oxalate from sulphuric acid leach solutions [4],[117].



Chen et al. [99] investigated the recovery of lithium and cobalt from waste lithium-ion batteries by alkali and acid leaching processes. First, after burning the carbon and binder at 700-800 °C, they leached the material with NaOH to recover Al prior to the leaching of Co and Li. In the presence of H_2O_2 , they obtained 95% Co and 96% Li by H_2SO_4 leaching (4M H_2SO_4 , 10% H_2O_2 , L/S=10:1, 2 h, 85 °C).

3.2.2.2 Hydrochloric acid leaching

Another common leaching reagent used in the extraction of metals from LIB's is hydrochloric (HCl) acid (Table 3) [98]. Main disadvantages of this reagent system are the release of toxic Cl_2 gas during leaching and its highly corrosive nature, which requires the use of corrosion-resistant equipment with increased operating and investment costs. Although the sulphuric acid system is preferred in most cases [118] hydrochloric acid is stronger than sulphuric acid since hydrogen ions have much higher activity due to chloride in this system [119]. The dissolution reactions of lithium and cobalt from LiCoO_2 in HCl solutions are given in Equations 11 and 12.



In a previous study, Zhang et al. [14] achieved >99% Co and Li recovery by HCl leaching (4 M HCl, 80 °C, 1 h) from the spent lithium-ion battery cathode material. Wang et al. [105] also reported high extractions of metals, i.e. >99% Co, Mn, Ni and Li from lithium-ion battery cathode material by HCl leaching (4M HCl, 80 °C). The flowsheet showing the recovery of metals by HCl leaching from lithium-ion batteries is presented in Figure 6 [96].

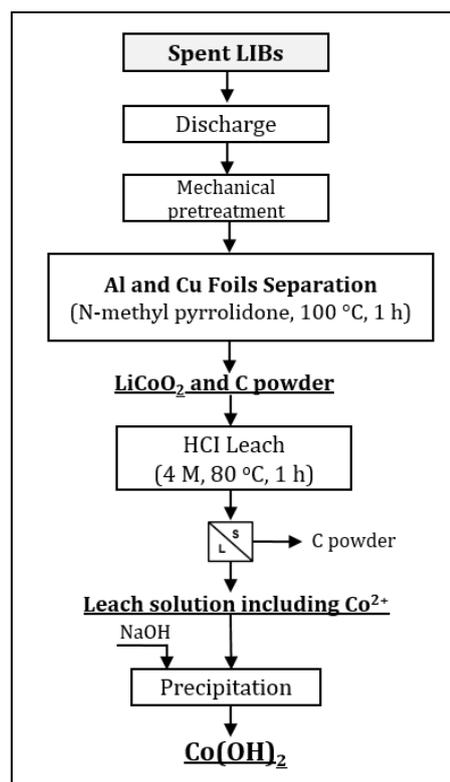


Figure 6. Recovery of metals from lithium-ion batteries by HCl leaching [96].

3.2.2.3 Nitric acid leaching

Nitric acid (HNO_3) with its high oxidising potential ($E^0 = +0.96$ V) can be exploited as oxidising lixiviant [125]. But, it is more expensive than other mineral acids. It can also be used as an oxidiser in sulphuric acid leaching [119]. The dissolution of Li and Co by leaching HNO_3 from LiCoO_2 is given in Equations 13 and 14. Zhang et al. [14] obtained 40% Co and 75% Li recovery in HNO_3 leaching but obtained 99% Co and Li recovery with the addition of 1.7% H_2O_2 . Dorella and Mansur [36] also reported an increase in the cobalt recovery from 50% to 100% with the addition of H_2O_2 . Castillo et al. [98] showed 100% lithium and 95% Mn recovery in the nitric acid leaching (1 M HNO_3 , 80 °C, 2 h) (Table 4). It was separated from lithium by precipitating Mn(OH)_2 with NaOH at pH 10 (Figure 7).

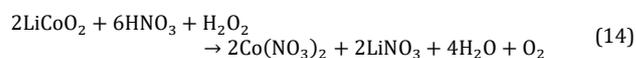
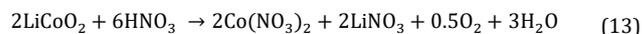


Table 3. Parameters and results of studies on hydrochloric acid leaching (DMG: Dimethylglyoxime).

Leach conditions	Metal Recovery (Precipitation/SX)	Recovery	Reference
4 M HCl, 80 °C, 1 h, 100 g/L	Prec: NaCO_3 ; SX: 0.29 M D2EHPA and 0.9 M PC-88A	Leach: >99% Co and Li; SX: 0.9 M PC-88A, >99.9% Co and 12.6% Li; Prec.: 80% Li	[14]
4 M HCl, 80 °C, 1 h., 100 g/L	Prec.: 4 M NaOH, pH 6-8	Co(OH)_2	[96]
4 M HCl, 80 °C, 1 h., 20 g/L	Prec.: Mn (KMnO_4 , pH 2, 40 °C); Ni (DMG, pH 9); Co (NaOH, pH 11); Li prec. (NaCO_3 , 100 °C)	Leach: >99% Co, Mn, Ni, Li; Prec.: 97% Li (LiCO_3 ; 98% Mn, 97% Co and 97% Ni	[105]
3 M HCl + 3.5% H_2O_2 , 80 °C, 1 h, 50 g/L	Prec.: NaOH for Co, pH 11-12; Na_2CO_3 for Li, 100 °C	95% Co and 93% Li	[97]
4 M HCl, 90 °C, 18 h, 50 g/L	Prec.: pH 3 and pH 11 with NaClO	100% Co and 99,99% Ni	[123]
4 M HCl, 90 °C, 2 h,	-	97% Li, 99% Co	[124]

Table 4. Parameters and results of studies on HNO₃ leaching.

Leach condition	Recovery	Reference
1 M HNO ₃ + 1.7% H ₂ O ₂ , 75 °C, 1 h, 20 g/L	95% Co, Li	[45]
1 M HNO ₃ + 1% H ₂ O ₂ , 80 °C, 1 h, 20 g/L	100% Co, Li	[106]
2 M HNO ₃ , 80 °C, 2 h	100% Li	[98]

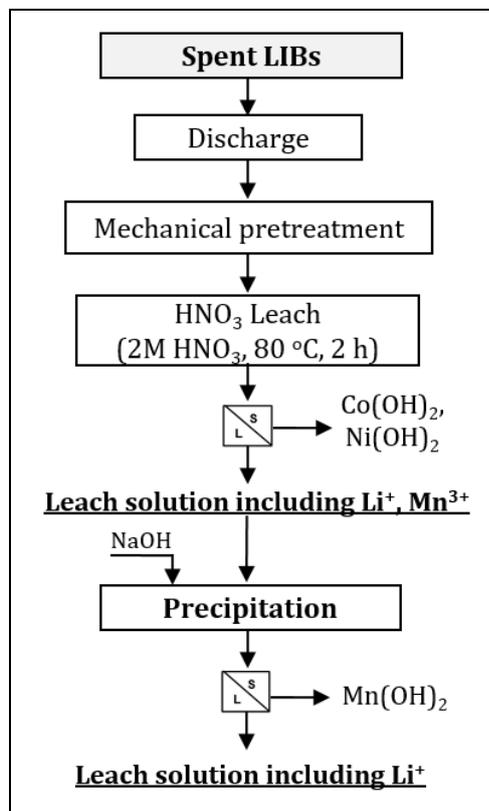


Figure 7. Recovery of metals from lithium-ion batteries by HNO₃ leaching [98].

3.2.3 Organic acid leaching

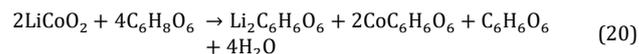
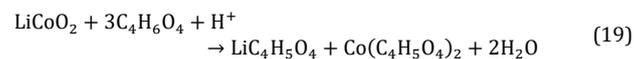
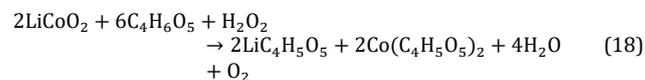
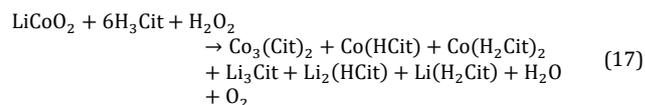
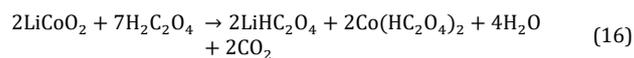
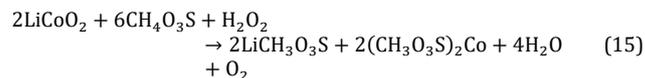
Due to the harmful nature of inorganic acids, many organic acids (Lactic acid-C₃H₆O₃, ascorbic acid-C₆H₈O₆, malic acid-C₄H₆O₅, citric acid-C₆H₈O₇, tartaric acid-C₄H₆O₆, aspartic acid-C₄H₇NO₄, oxalic acid-H₂C₂O₄, succinic acid-C₄H₆O₄, etc.) have been tested for the treatment of lithium-ion batteries (Table 5) [3],[34],[38],[51],[64],[72],[73],[126]-[30]. H₂O₂ is often used as a reductant for converting Co³⁺ to Co²⁺ in organic acid leaching. Compared to citric and malic acid, ascorbic acid was reported to have advantages such as low temperature and short leaching time under the same leaching conditions [126]. Oxalic acid leaching is more advantageous than other organic acids due to the selective precipitation of cobalt as oxalate salt (CoC₂O₄) [72],[74]. Because organic acids have relatively slow leaching kinetics, high acid concentrations are often required to obtain high metal extractions.

Organic acids such as methanesulfonic acid (MSA; CH₃SO₃H) and p-toluenesulfonic acid (TSA) have also been proposed in the leaching of Li and Co from lithium-ion batteries [131],[132]. Wang et al. [131] achieved 100% Li and Co recoveries from waste LiCoO₂ cathode material by MSA leaching (1 M MSA, 0.9% H₂O₂, 70 °C, 1 h, solid ratio: 20 g/L) in the presence of H₂O₂ as the reductant.

Table 5. Parameters and results of studies with organic acids.

Leach Condition	Recovery	Reference
1.5 M aspartic acid, 4% H ₂ O ₂ , 90 °C, 2 h, 10 g/L	60% Li and Co	[67]
1.5 M DL-Malic acid + 2% H ₂ O ₂ , 90 °C, 40 min., 20 g/L	90% Co and 100% Li	[34]
1.25 M citric acid + 1% H ₂ O ₂ , 90 °C, 30 min., 20 g/L	90% Co, 100% Li	[38]
1.25 M ascorbic acid, 70 °C, 20 min., 25 g/L	94,8% Co, 98,5% Li	[126]
1 M oxalic acid, 80 °C, 2 h, 50 g/L	98% Li and Co	[74]
100 mM citric acid+ 20mM ascorbic acid, 80 °C, 6 h, 2 g/L	100% Li and Co	[42]
1.5 M succinic acid, 4% H ₂ O ₂ , 70 °C, 40 min., 15 g/L	100% Co, 96% Li	[82]
1 M oxalic acid, 95 °C, 2.5 h, 1.5%	98% Li, 97% Co	[72]
1 M MSA, 0.9% H ₂ O ₂ , 70 °C, 1 h, 20 g/L	100% Li and Co	[131]
0.6 M tartaric acid, 3% H ₂ O ₂ , 30 mL/g, 80 °C, 30 min.	98% Li and 97% Co	[116]

The reactions in the leaching of methanesulfonic acid, oxalic acid, citric acid, malic acid, succinic and ascorbic acid are given in Equations 15 to 20.

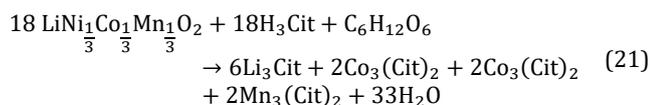


Tartaric acid (C₄H₆O₆) has a lower cost and higher acidity than most organic acids [85]. It was used as a leaching and precipitating reagent in the selective separation and recovery of Co [85],[133]. In 2 M tartaric acid solution (4% H₂O₂, 17 g/L solids ratio, 70 °C, 30 min.), 99.07% Li, 98.64% Co, 99.31% Ni and 99.31% Mn extractions were reported [133]. The citric acid (C₆H₈O₇-H₃Cit), which is widely used as an organic lixiviant, ionises to H⁺, H₂Cit⁻, HCit²⁻ and Cit³⁻ in leaching solutions and forms complexes such as Co₃(Cit)₂ and Li₃Cit [38],[90].

A variety of organic products/wastes such as *Phytolacca Americana* [92], waste tea [92], grape seed [93], and orange peels [94] have also been used as reducing agents in citric acid solutions. Chen et al. [92] found 98% Co and 99% Li, 83% Co and 98% Li, 96% Co and 98% Li using H₂O₂ (70 °C, 2 M, 0.6 g/g H₂O₂, solids ratio 50 g/L, 80 min.), *Phytolacca Americana* (80 °C, 1.5 M, 0.4 g/g PA, solids content 40 g/L, 120 min.) and waste tea (90 °C, 1.5 M, 0.4 g/g, solids content 30 g/L, 120 min.) respectively in citric acid leaching.

In a recent study, Wu et al. [94] used orange peels (200 mg) as a reductant in citric acid leaching (1 M, 90 °C, 25 g/mL, 24 h) and achieved complete extraction of metals (Co, Li, Ni and Mn). The authors mooted that the cellulose in orange peels turns into sugars such as glucose, which have reducing properties when heated under acidic conditions. Firstly, Mn(OH)₂ and Ni(OH)₂ were recovered at pH 12 using NaOH. In the second step, cobalt was recovered as Co(OH)₂ using ethanol (ethanol/solution = 1/10).

Using D-glucose (C₆H₁₂O₆) (0.5 g/g) as a reductant, Chen et al. [90] obtained high extractions of metals i.e. 99% Li, 92% Co, 91% Ni and 94% Mn in citric acid leaching (1.5 M, 80 °C, 20 g/L, 120 min.). D-glucose is easily oxidised and converted to gluconic acid, glyceric acid and CO₂, increasing the leaching efficiency [87],[91]. In the presence of D-glucose, high-valent transition metals such as Co³⁺ and Mn⁴⁺ are reduced to Co²⁺ and Mn²⁺, respectively and hence, readily dissolved during leaching [134].



In the malic acid leaching of lithium-ion batteries, various waste products have been utilised as reducing reagents. Zhang et al. [93] used grape seeds as a reducing agent obtaining 92% Co and 99% Li extractions (0.6 g/g) in malic acid leaching (1.5 M, 80 °C, 180 min. 20 g/L). These researchers attributed the reducing properties of grape seed to its content of catechin, gallic acid and oligoprocyanidin as active substances.

3.3 Solution purification and metal recovery

Since the leaching solutions of lithium-ion batteries are highly acidic and contain many metals, solution purification and metal recovery stages may involve complex processes for the selective recovery of metals of interest [11]. Depending on the mechanical pretreatment applied, the cathode material contains varying amounts of Fe, Cu and Al from foil. It would be good practice to avoid Cu and Al leaching so as to ease the downstream processing for efficient and selective recovery of metals [118],[135]. Metals such as Cu, Fe, Mn and Al are often removed from Li, Co and Ni. These can then be recovered from clean solutions by precipitation or solvent extraction methods [3],[91],[136].

3.3.1 Precipitation

Pregnant leach solutions are treated to reject impurities such as Cu, Fe, Al and Mn through precipitation by using NaOH or CaCO₃ [36],[56]. Precipitation of metals from leaching solutions is a relatively easy and simple process. The required pH for precipitation of some metals as hydroxide is given in Table 6. Also, Figure 8 shows the precipitation curves of some metals from saturated NaCl solutions as a function of pH [137]. pH is one of the most important parameters controlling the

precipitation of metals, allowing their selective recovery/removal under suitable conditions (Table 7). In this regard, from pregnant leach solutions, Co and Li can be recovered selectively by precipitation exploiting the difference in pH for the formation of their respective hydroxides.

Table 6. Precipitation pH of some metals as hydroxide (25 °C) [138].

Metal	pH
Ag	8.0
Zn	7.0
Co(II)	6.8
Ni	6.7
Fe(II)	5.5
Cu(II)	5.3
Co(III)	3.0
Fe(III)	2.0

Table 7. Studies to remove metals from leaching solutions.

Reagent	Metal	pH	Efficiency, %	Ref.
10% NaOH	Al, Fe	5,5	98% Al	[62]
4 M NaOH,	Al, Cu, Fe	6,5	99%	[102]
Ca ₂ CO ₃				
NH ₄ OH	Al	5	80 %	[36]
NaOH	Al, Cu, Fe	5	100% Al, 100% Fe, 60% Cu	[91]
Na ₂ S	Cu		99.9% Cu	[103]

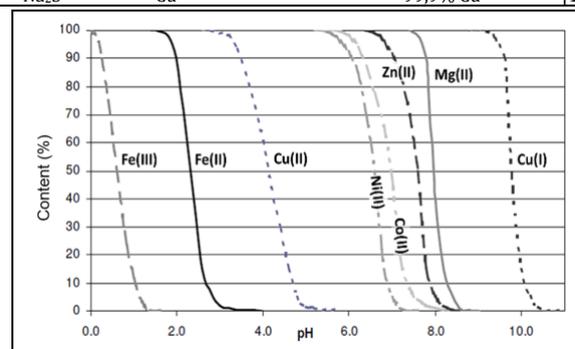
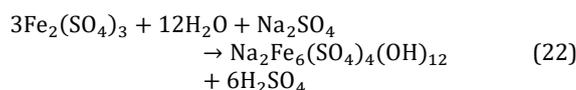
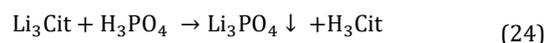


Figure 8. pH-dependent precipitation curves of some metals from saturated NaCl solutions [138].

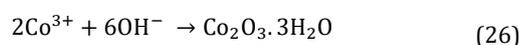
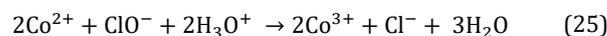
Iron is removed as jarosite from leach solution (pH 3-3.5; 95 °C) (Equation 22), [99].



Cobalt is recovered as CoC₂O₄·2H₂O (99.3% purity) by oxalic acid [93] and Li is recovered as Li₃PO₄ (98.5% purity) by phosphoric acid (H₃PO₄, 0.5 M) (60 °C, 30 min. 300 rpm) (Equations 23-24), [139]. It has been stated that the citric acid obtained after the metal recovery stage is reusable.

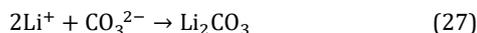


Joulie et al. [123] demonstrated that Co²⁺ could be oxidised to Co³⁺ with NaClO at pH 3 to selectively precipitate cobalt in the form of Co₂O₃·3H₂O (Equations 25 and 26). Then, nickel is precipitated as nickel hydroxide at pH 11.

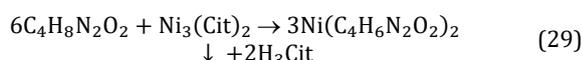


Recovery of cobalt by precipitation with ammonium oxalate from purified leaching solutions was also reported [30],[62].

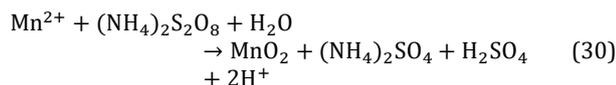
After the recovery of Co^{2+} and Ni^{2+} by solvent extraction from leach solutions. Lithium is usually precipitated from the solution using Na_2CO_3 , CO_2 or H_3PO_4 (Equations 27 and 28), [91],[140],[141].



Manganese was recovered from the solution as MnO_2 or Mn_2O_3 by adding potassium permanganate (0.5 M KMnO_4) [105]. Then, nickel (98.5%), cobalt (96.8%) and lithium (92.7%) were recovered as $\text{Ni}(\text{C}_4\text{H}_6\text{N}_2\text{O}_2)_2$, $\text{CoC}_2\text{O}_4 \cdot 2\text{H}_2\text{O}$ and Li_3PO_4 , respectively, using dimethylglyoxime (DMG, 0.2 M, $\text{C}_4\text{H}_8\text{N}_2\text{O}_2$) (Equation 29), oxalic acid (0.5 M $\text{H}_2\text{C}_2\text{O}_4$) (Equation 23) and phosphoric acid (0.5 M H_3PO_4) (Equation 24) from the purified solutions.



Manganese was removed at pH 4 (70 °C) with ammonium persulfate (Equation 30) [140]. Then, the concentrated Co^{2+} from the purified solution by solvent extraction (P507) was recovered as cobalt oxalate (93% recovery, >99.9% purity) by adding ammonium oxalate (ratio: 1.15:1; pH: 1.5).



Wang et al. [105] reported the treatment of HCl leach solutions for the recovery of Mn, Ni, Co and Li. They initially precipitated Mn as MnO_2 by using KMnO_4 at low pH values. Then, these investigators used NH_3 to complex Ni as $[\text{Ni}(\text{NH}_3)_6]^{2+}$ and precipitated it with dimethylglyoxime at pH 9. Co was also recovered as hydroxide by further increasing the pH using NaOH to 11. A saturated solution of Na_2CO_3 was used to precipitate Li_2CO_3 from the residual solution at 100 °C. This scheme of recovery process is complex with high-consumption reagents. Nayl et al. [100] described a process in which metals are precipitated as carbonate with sodium carbonate after adjusting the pH using NaOH (Figure 9).

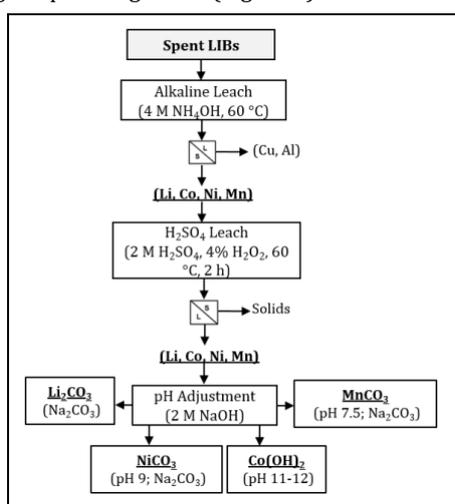


Figure 9. Recovery of Li, Co, Mn and Ni by precipitation from leaching solutions [100].

They reported 91% Ni as NiCO_3 recovery from the leach solution at pH 9. Then, the solution pH was increased to 11-12

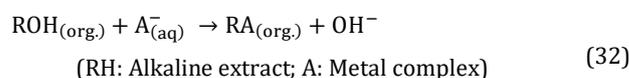
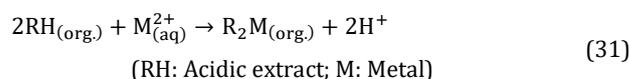
with NaOH at room temperature to recover Co as cobalt hydroxide. Finally, lithium was precipitated as Li_2CO_3 by further adding Na_2CO_3 to the solution (at 90 °C). Pure Li_2CO_3 was obtained after washing the precipitate with hot water to remove the residual Na prior to drying at 100 °C.

Aktas et al. [101] investigated the crystallisation of metals from sulphuric acid solutions of waste LIBs by using ethanol. They obtained copper sulphate ($\text{CuSO}_4 \cdot 3\text{H}_2\text{O}$) at 96% Cu recovery and cobalt sulphate (CoSO_4) at 92% Co recovery with ethanol at a solution-to-ethanol ratio of 3. Cobalt that did not precipitate with ethanol but remained in solution was precipitated as cobalt hydroxide ($\text{Co}(\text{OH})_2$) by increasing the pH using lithium hydroxide to pH 10. After the removal of cobalt, the residual solution was acidified with sulphuric acid to precipitate lithium sulphate (Li_2SO_4) at 90% recovery with the addition of ethanol. These investigators also noted the precipitation of 99% of aluminium as aluminium hydroxide ($\text{Al}(\text{OH})_3$).

3.3.2 Solvent extraction

Solvent extraction (SX) is the process of extracting the metal from the inorganic (aqueous) phase into the organic phase by bonding a liquid organic reagent with the metal in the solution [142]. It is used both to remove impurities and to recover metals from the leaching solutions of lithium-ion batteries (Table 8). Many SX reagents are available with bis(2,4,4-trimethylpentyl) phosphinic acid (Cyanex 272), di-(2-ethylhexyl) phosphoric acid (D2EHPA), 2-ethylhexyl phosphonic acid mono-2-ethylhexyl ester (PC-88A) and the hydroxy-oxime derivative (Acorga M5640) being extensively used in SX applications [143]. The main detractions to solvent extraction include the challenges in Co/Ni/Mn separation, high reagent requirements for the concentrated solutions and high operating and capital costs [5].

Metals such as Cu, Al, Co, Ni, Fe and Mn can be selectively extracted at $\text{pH} \leq 6.5$ using a suitable SX process while lithium remains in solution. SX reagents, including Cyanex 272, Acorga 5640 and PC-88A, appear to be used for the treatment of pregnant leach solutions of waste LIBs [30],[62]. Pranolo et al. [144] exploited the synergistic effect of two solvents (7% PC-88A and 2% Acorga M5640) to effectively separate Fe^{3+} , Cu^{2+} and Al^{3+} from Co^{2+} , Ni^{2+} and Li^+ (pH 4-4.5).



Cyanex 272 is widely used commercially in the Co/Ni separation (pH 5.5-6) [62],[102],[118],[144],[145]. PC-88A is generally used in the extraction of Co from Ni and Li [3],[102],[144]. Suzuki et al. [146] proposed a for the recovery of Al, Cu, Co and Li from synthetic acidic sulphate solutions (2×10^{-3} M metal, 0.2 M Na_2SO_4) by solvent extraction (Figure 10). In the first step, after copper was recovered by Acorga M5640 (pH: 1.5-2), aluminium was selectively extracted with 2-ethylhexyl 2-ethylhexylphosphonic acid (PC-88A, pH: 2.5-3). Cobalt and lithium were separated with high separation efficiency (>98%) by PC-88A/TOA (Tri-n-octylamine, pH: 5.5-6). Granata et al. [91] initially precipitated Fe, Al and Cu as hydroxide at pH 5 from the sulphuric acid-leaching solution (2M H_2SO_4 , S/L: 100 g/L, 90 °C, 50% glucose).

Table 8. Removal of metals from leaching solutions by solvent extraction method.

Solvent	Metal	pH	Efficiency, %	Stripping from the organic phase	Reference
0.3 M Cyanex 272	Al	2.5-3	100% Al	-	[118]
10% Acorga 5640	Cu	1.5-1.7	98.5% Cu	2 M H ₂ SO ₄ , O:L=1:1, 2-stage	[62],[145]
7% PC-88A and 2% Acorga 5640	Al, Cu, Fe	4-4.5	100% Al, Cu, Fe	100 g/L H ₂ SO ₄ , O:L=1:8, 2-stage	[144]
10% Acorga 5640	Cu	1.5-2	100% Cu	3 M H ₂ SO ₄	[146]
%10 PC-88A	Al	2.5-3	100% Al		

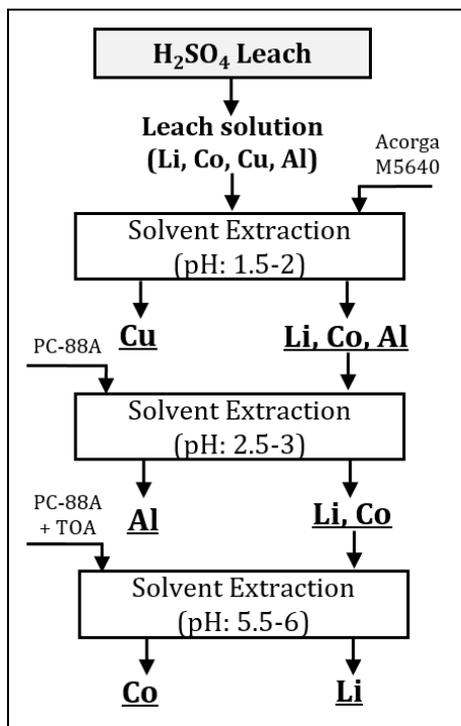


Figure 10. Recovery of Cu, Co, Li and Al from acidic sulphate solutions by solvent extraction method [146].

They used solvent extraction (Cyanex 272 and D2HEPA) to obtain a purified solution from which cobalt carbonate with high purity (47% Co) (Na₂CO₃, pH: 9-10, 2 h) was precipitated. They found that the purity of cobalt was limited to 36-37% Co without the application of SX. The researchers also reported the recovery of Li₂CO₃ with 98% purity through crystallisation at 80% yield as the final stage of the proposed solution purification and recovery process.

4 Industrial applications

There are many commercial applications for metal recovery from spent batteries (Table 9), [15],[124],[136],[147]. Toxco and Sony processes were the first commercial processes to recycle lithium-ion batteries. The Toxco process is designed for the treatment of all types of lithium-containing waste. The main products are cobalt, lithium and other important metals.

In the recycling of spent LIBs, four basic recycling technologies are mechanical pretreatment, mechanical+hydrometallurgical, pyrometallurgical, pyrometallurgical+hydrometallurgical. Some companies separate only battery components by mechanical processes and sell them to others that recover metals by hydrometallurgical or pyrometallurgical operations. While high purity lithium can be recovered in hydrometallurgical processes, it remains in the slag phase in pyrometallurgical processes [52].

4.1 Recupyl (France)

Recupyl process is a combination of physical and chemical processes to produce lithium carbonate. In this process, the mechanical treatment is carried out in an inert environment (CO₂ and 10-35% argon). Plastics, steel and copper are separated by physical separation processes such as screening, magnetic and gravity separation. The fine powder is separated by sieving and subjected to hydrolysis and leaching processes. The hydrolysis solution is filtered to obtain a pulp containing an alkaline lithium salt solution, metal oxides and carbon. Lithium is precipitated as Li₂CO₃ using CO₂ obtained from mechanical processes. Metal oxides are dissolved by sulfuric acid in the leaching process. Copper is cemented using steel balls. From the purified solution, cobalt (II) is oxidised with NaClO to precipitate as hydroxide (Co(OH)₃). In the process, lithium remaining in solution is precipitated with CO₂ gas (Figure 11), [52],[124],[148].

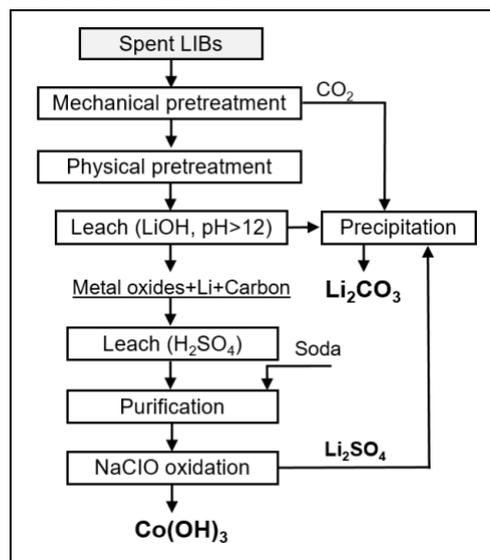


Figure 11. Flowsheet of Recupyl (France) process [124].

4.2 Toxco (Canada)

This process is designed to treat various types of batteries. The first stage of the process involves cryogenic crushing in a liquid nitrogen environment to avoid fire hazards. After crushing, the fraction that contains protective plastic and steel mixture, referred to as 'Li-ion fluff', is removed. Large batteries are cut in an alkaline environment to neutralise acidic components and dissolve lithium salts as LiCl and Li₂SO₄. The dissolved salts are precipitated and separated in the filter press for use in the production of lithium carbonate. The waste is sent to the cobalt recovery. The lithium-bearing solution is carbonated to produce Li₂CO₃ (Figure 12). Copper is extracted in the next step [60],[124],[150].

Table 9. Industrial lithium-ion battery recycling processes [149].

Proses	Company	Material	Product
Hydrometallurgy	Recupyl, France	All batteries	LiCoO ₂ , Co(OH) ₂
	Toxco, Canada	Li, Ni- batteries	LiCoO ₂ ,
	Sony, Japan	Li-ion batteries	Co(OH) ₂
Pyrometallurgy	Eurodieuze, France	All battery	Ni, Cd, steel
	Zimaval, France	Zn, Mn, Hg battery	Zn, Mn
	Dowa, Japan	All batteries	Co, Ni
	Batrec AG, Schweiz	Li, Hg battery	-
	Nippon, Japan	Ni-Cd, Ni-MH, LIB	Ni, Co, Cd, Al, Cu
	Accurec GmbH, Germany	All batteries	Ni, Cd, Fe, LiCO ₃
Pyro-hydrometallurgy	INMETCO, ABD	Ni-Cd	Cd, Ni, Zn
	Umicore, Belgium	LIB's, Ni-MH	Co, Ni
	Glencore Plc., Schweiz	LIB's, EV	Cu, Ni, Zn, Li

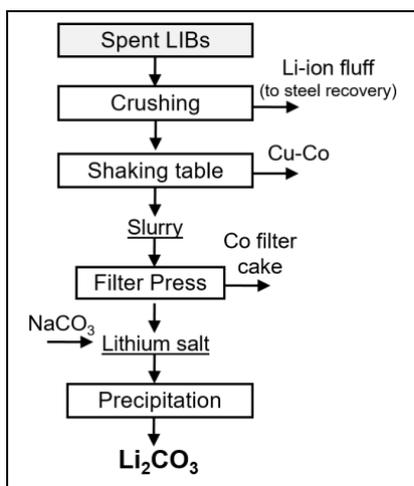


Figure 12. Flowsheet of Toxco (Canada) process [136].

4.3 Umicore (Belgium)

Umicore process is a combined pyro-and-hydro-metallurgical process. Spent waste batteries are melted (500 °C) with the patented ultra-high temperature (UHT) technology based on plasma technology to obtain a molten matte containing metals (Co, Ni, Cu, Fe). Li, Al, and Mn remain in the slag [60],[69],[124]. Metals are recovered using the hydrometallurgical treatment that involves sulphuric acid leaching and solvent extraction. Ni and Co are obtained as cobalt oxide and Ni(OH)₂ products [124]. A slag containing Al, Ca, Li, and Si is formed as a by-product, which can be used as a building material. Cobalt compounds are used in the production of LiCoO₂. Plastic, solvent and graphite are removed as a gas during smelting operation [52].

5 Environmental impacts of spent lithium-ion batteries

Mrozik et al. [151] provided a comprehensive review that identified potential routes and hazards for the environmental impacts of LIBs. There appear no universal standards on the disposal of waste LIBs worldwide. Hazardous materials that are released during the management of waste LIBs through landfilling, incineration and recycling, as well as undesired incidents such as fires and explosions, can cause environmental pollution. These materials include vapours/gases (i.e. HF, CO or HCN), heavy metals present as oxides (i.e. LMO, NMC) and degradation products of electrolyte such as alkylfluorophosphates [151],[152],[153].

6 Conclusions

The importance of lithium-ion batteries (LIBs) has increased in recent years due to the widespread use of portable electrical and electronic equipment (mobile phones, laptops, video cameras, etc.) and electric cars (EV, PHEV, HEV). Accordingly, there is a recent trend of an increase in the demand and prices of lithium and cobalt. Since LIBs contain heavy metals (Cu, Pb, Cd, Zn) and electrolytes that are harmful to the environment and human health, they should be appropriately managed. LIBs with a chemical composition of Li (1.5-7%), Co (5-20%), Cu (8-10%), Ni (5-10%), Mn (15-20%) and Al (5-8%) are regarded as a significant secondary source for these metals.

Mechanical, hydrometallurgical and pyrometallurgical treatment processes are used for the recycling process of LIBs. However, hydrometallurgical processes that often involve leaching of metals and the recovery of metals after the removal of impurities from leaching solutions, seem to have received most attention. Sulfuric acid in the presence of various reducing agents, is widely used in the leaching of LIBs. Biodegradable organic acids are also extensively promoted as environmentally-friendly alternatives. Chemical precipitation and/or solvent extraction processes are extensively exploited for the treatment of leach solutions for the recovery of metals in various forms. Production of metal hydroxides and carbonates as main products has received the most attention.

R&D studies seem to continue for the development of sustainable technologies/processes that can effectively recover metals from spent LIBs with an emphasis on minimising the environmental impact, simplifying recycling processes, and reducing costs.

7 Acknowledgment

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8 Author contribution statements

In the scope of this study, Oktay CELEP in the conceptualisation, writing-original draft and the literature review; Ersin Y. YAZICI in the writing-review and editing; Hacı DEVECİ in writing - review and editing, supervision; the Christie DORFLING in the spelling and checking the article in terms of content were contributed.

9 Ethics committee approval and conflict of interest statement

There is no need to obtain permission from the ethics committee for the article prepared. There is no conflict of interest with any person/institution in the article prepared.

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