

Predictive risk factors for Intensive Care Unit mortality in Acute Exacerbations of COPD requiring Invasive Mechanical Ventilation

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ABSTRACT

Acute exacerbations of chronic obstructive pulmonary disease (AECOPD) frequently necessitate intensive care unit (ICU) admissions. The purpose of this study is to determine risk factors for ICU mortality in AECOPD who required invasive mechanical ventilation (IMV).

Patients requiring IMV for AECOPD between January 2013 and March 2019 were retrospectively reviewed. Patients' characteristics, comorbidities, and laboratory results were reviewed from the medical charts. Subjects' acute physiology and chronic health evaluation (APACHE) II score, Glasgow Coma Scale (GCS), IMV (days), and mortality were recorded. As an output or dependent variable, ICU mortality was considered. Other variables were considered to independent factors or risk factors. Then, Logistic regression analysis was performed to determine risk factors for ICU mortality in AECOPD.

The study, 134 patients were included. The mean duration of IMV were 11.6 ± 12.2 days. The ICU mortality were 51.4 %. On admission to ICU, patients had APACHE-II scores of 23.0 ± 6.2 . Nonsurvivors had lower blood Mg levels (1.8 ± 0.2 mmol/L, $p = 0.002$), lower blood Ca levels (8.0 ± 0.7 mg/dL, $p = 0.005$), higher Uric Acid, (8.5 ± 3.8 mg/dl, $p = 0.04$), higher CRP levels (87.2 ± 71.8 mg/dl, $p = 0.048$), higher leukocyte count (14.7 ± 10.2 10³/L, $p = 0.040$), higher serum lactate (2.3 ± 1.7 mmol, $p = 0.003$) compared to survivors.

APACHI score and uric acid level were found statistically significant risk factors for ICU mortality.

Keywords: Chronic Obstructive Pulmonary Disease, Acute Exacerbation, Invasive Mechanical Ventilation, Intensive Care Unit

Introduction

Chronic obstructive pulmonary disease (COPD) is a major global health problem due to its high prevalence, increasing incidence, and very serious personal, social and economic cost(1). It is associated with acute exacerbations which are required additional treatment, may occur during the clinical course of disease and increase the mortality (2).

Mortality ranges from 11% to 48% in following acute exacerbation of the disease (3-8). COPD has a high level of morbidity and progresses with acute attacks that require frequent admission to the intensive care unit(ICU)(5). Patients with COPD hospitalized in the ICU usually have more than one comorbidity, presenting with acute

respiratory failure (ARF) as a result of an infection-related acute attacks(4,6).

Previous studies have focused on clinical parameters aiming to predict 30-day mortality following acute exacerbations of COPD (AECOPD) (8,9). These studies used partial pressure of carbon dioxide (PaCO₂), oxygen saturation, Body Mass Index, age, and comorbidities as predictors of in-hospital mortality during AECOPD. However, very little evidence is available on the determinants of ICU mortality in AECOPD patients.

In the present study, we aimed to identify risk factors for ICU mortality in AECOPD patients who required invasive mechanical ventilation (IMV). Predicting the risk factors for mortality is

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an important issue during the management of critically patients.

Material and Methods

Patients: This was a study of patients with COPD who were admitted to a tertiary care hospital between January 2013 and March 2019. Ethics approval was obtained from the local ethics committee (degree no: 2019/17-15). All data were collected retrospectively, and all patient records were evaluated individually. COPD patients older than 40 years of age who required mechanical ventilation for acute respiratory failure were included in the study. Patients with asthma, COPD without hypercapnia, bronchiectasis, interstitial lung disease, malignancy, acute respiratory distress syndrome, were excluded from the study.

A detailed history and demography of all patients was taken including age, gender, smoking status, biomass exposure, comorbidities, history of hospitalization and acute exacerbations in the previous year.

Descriptions: COPD was diagnosed by a pulmonologist who evaluated airflow limitation on spirometry, limitation being defined as a forced expiratory volume in 1 s/forced vital capacity (FEV₁/FVC) ratio below 0.7 or below the lower limit of normal in patients with a history consistent with the diagnosis (10). An AECOPD was defined as an acute alter in respiratory symptoms that is beyond normal variability and is sufficient to guaranty a change in therapy (11). Patients admitted to the ICU for IMV were included if any of the following conditions: 1.severe dyspnea with the use of accessory muscles, paradoxical respiration, respiratory arrest, 2. a respiratory frequency > 35 breaths/minute; 3. impaired mental status; 4. respiratory acidosis (pH < 7.26); hypoxemia (arterial oxygen tension < 60 mmHg)(12). Acute physiology and chronic health evaluation (APACHE) II scores were calculated by using the most unfavorable values available during the first day in the ICU, as described in the literature (13).

Measurements: Arterial blood gas results, hemogram parameters, blood sugar levels, serum albumin, protein, magnesium, calcium, fosfor levels, C-reactive protein (CRP) and procalcitonin levels, neutrophil-to-lymphocyte ratio were retrieved from their medical records. Spirometry could not be performed in all of the patients, because those with COPD and hypercapnic respiratory failure had severe obstruction.

Therefore, the general health status of those patients precluded examination by spirometry.

Statistical analysis : Descriptive statistics for the studied variables (characteristics) were presented as mean, standard deviation, minimum and maximum values. Normality assumption of the continuous variables was tested with Kolmogov-Smirnov test. After normality test, Student t test was performed to compare group means. Chi-square test was used to determine the relationship between categorical variables. As an output or dependent variable, ICU mortality was considered. Other variables were considered to independent factors or risk factors. In order to determine the possible risk factors that may be associated with ICU mortality, first, forward logistic regression was performed. Then logistic regression for ICU mortality were analyzed using the enter method and odds ratios aswell as confidence intervals were calculated. Statistical significance level was considered as 5% and SPSS (ver: 13) statistical program was used for all statistical computations.

Results

We included 134 COPD patients requiring IMV for ARF. Of all patients, 83 were male (61.9%) and the mean age was a 71.7 ± 9.6 years. The ICU mortality rate was 51.4%. The majority of the patients (56.3%) had previously been admitted to the hospital for an AECOPD.

Of the 134 patients, 98 (73.1%) had at least one comorbidity (Table 1), the most common of which were hypertension (n=36, 26.8%), followed by Coronary artery disease (n=30, 22.3%), Congestive heart failure (n=23, 17.1%), diabetes mellitus (n=23, 17.1%), Chronic renal failure (n=12, 0.89%).

The mean APACHE II score was 23.0 ± 6.2 . Mean duration of IMV were $11,6 \pm 12,2$ days.

Those who died had a low albumin levels (26.1 ± 6.9 g/L, $p < 0.001$), a low Protein levels (54.5 ± 8.2 g/L, $P .008$), a low blood Magnesium (Mg) levels (1.8 ± 0.2 mmol/L, $P .002$), a low blood Calcium (Ca) levels (8.0 ± 0.7 mg/dL, $p = 0.005$), high Uric Acid, (8.5 ± 3.8 mg/dl, $p = 0.004$), high CRP levels (87.2 ± 71.8 mg/dl, $p = 0.048$), high Leukocyte count ($14.7 \pm 10.2 \cdot 10^3/L$, $p = 0.040$), high serum lactate (2.3 ± 1.7 mmol, $p = 0.003$) when compared with those who survived, on ICU admission (Table 2). In order to determine the risk factors for ICU mortality, result of the logistic regression analysis were presented in Table 3. From the risk factors, odds ratios of APACHE - II and uric acid were found statistically significant.

Table 1. Differences In Baseline Characteristics Between Survivors and Non-Survivors

P-value	All patients (n=134)	Survivors (n=65)	Non-survivors (n=69)
Age (years) (Mean ± SD) 0.566	71.7±9.6	71.2±10.0)	72.1±9.2
Gender (M/F) 0.330	83/51	43/22	40/29
APACHE skor (Mean ± SD) 0.001	23.0±6.2	19.4±4.5	26.4±5.6
GCS 0.001	7.2±2.2	8.1±2.4	6.3±1.8)
Mechanical ventilation stays, days 0.018 (Mean ± SD)	11.6±12.2	9.0±7.7)	14.0±14.9
Current Smoker 0.436	54	25	30
Ex-Smokers n(%) 0.162	18	11	7
Non-smoker n(%) 0.230	40	21	19
Biomass n(%) 0.080	46	18	18
Patients with ≥2 previous AECOPD n(%) 0.981	75	36	39
Patients with ≥1 previous AECOPD 0.046 Requiring hospitalisation n(%)	72	39	33
Comorbidities			
Diabetes n(%) 0.230	23(17.1%)	10	13
Hypertension n(%) 0.388	36(26.8%)	19	17
Coronary artery disease n(%) 0.807	30(22.3%)	15	15
Congestive heart failure n(%) 0.439	23(17.1%)	8	15
Chronic renal failure n(%) 0.889	12(0.89%)	5	7

Abbreviations: APACHE: Acute Physiology and Chronic Health Evaluation, GCS: Glasgow Coma Scale, AECOPD: Acute Exacerbations of Chronic Obstructive Pulmonary Disease, SD: Standard Deviation

Thus, it can be stated that a 1-unit increase in the APACHI score are likely to increase 1.43 times the risk of ICU mortality. Similarly, it has been observed that a 1 unit increase in the amount of uric acid are likely to increase 1.76 times the risk of ICU mortality.

Discussion

In the present study involving patients with AECOPD requiring invasive mechanical ventilation for respiratory failure, mortality rate was 51.4%. Uric acid levels were found as risk factor associated with ICU mortality. Additionally, nonsurvivors had lower albumin levels, lower protein levels, lower blood Mg levels, lower blood

Table 2. Differences In Laboratory Data Between Survivors and Non-Survivors

P-value	All patients (n=134)	Survivors (n=65)	Non-survivors (n=69)
Arterial blood gases			
pH (Mean ± SD) 0.144	7.23±0.9	7.22±0.1	7.20±0.9
PaO ₂ , mmHg (Mean ± SD) 0.828	43.4±17.0	44.1±15.1	42.7±18.7
PaCO ₂ , mmHg (Mean ± SD) 0.842	65.5±15.7	65.7±14.4	65.2±17.0
HCO ₃ , mEq/L (Mean ± SD) 0.426	26.3±9.1	27.0±6.6	25.7±11
Serum Lactate, mmol/L (Mean ± SD) 0.003	1.9±1.4	1.3±0.7	2.3±1.7
Laboratory variables			
Leukocyte Count, 10 ³ L(Mean ± SD) 0.040	13.3±8.5	11.7±6,0	14,7±1.0
Hemoglobin, g/dL(Mean ± SD) 0.163	13.7±2.8	14.1±2.5	13.4±3.0
Hematocrit, % (Mean ± SD) 0.224	44.2±9.7	45.2±8.9)	43.2±10.9
Albumin, g/L (Mean ± SD) 0.001	28. 4±6.4	31.0±4.6)	26.1±6.9
Protein, g/L (Mean ± SD) 0.008	56.7±8.2	58.8±7.8)	54.5±8.2
Magnesium (Mg), mg/dL (Mean ± SD) 0.002	2.0±0.5	2.1±0.6)	1.8±0.2
Calcium (Ca), mg/ dL (Mean ± SD) 0.005	8.2±0.8	8.4±0.8	8.0±0.7
Phosphorus, mg/ dL (Mean ± SD) 0.462	3.8±1.6	3.6±1.2	3.9±1.9
Uric Acid, mg/ dL (Mean ± SD) 0.004	7.5±4.0	6.4±2.8	8.5±3.8
Blood glucose, mg/dL (Mean ± SD) 0 .737	160.2±89.0	157.5±89.8	162.7±88.8
CRP, mg/dL (Mean ± SD) 0.048	76.3±64.5	64.7±53.8	87.2±71.8
Procalcitonin, ng/mL (Mean ± SD) 0.324	3.02±7.5	2.1±7.9	3.8±7.0
Neutrophil /Lymphocyte Ratio (Mean ± SD) 0.650	15,6±19.0	14.9±16.5)	16.4±21.3

Abbreviations: PaO₂: Partial Arterial Oxygen Pressure, PaCO₂: Partial Carbon Dioxide Pressure, HCO₃: Bicarbonate, CRP: C-Reactive Protein, SD: Standard Deviation

Ca levels, higher CRP levels, higher leukocyte count, more elevated serum lactate compared to survivors.

Previous studies have shown that the APACHE II score is an risk factor for ICU mortality in AECOPD patients admitted to the ICU (3,14-16).

The mean APACHE II scores reported in the literature range from 11 to 22 (17), comparable to the mean of 23 in our sample. This data suggests that APACHE II score may predict mortality in AECOPD patients admitted to ICU.

Table 3. Results of Logistic Regression For ICU Mortality Risk

OR (95% CI)	p-value	
APACHE II score	1.430 (1.107–1.848)	0.006
Glasgow Coma Scale	1,049 (0.746–1.476)	0.174
Current Smoker	0.805 (0.018–22.315)	0.436
Ex-Smokers	3.082 (0.033–288,305)	0.162
Uric acid, mg/dL	1.762 (1.151–2.697)	0.043
Glucose	0.991(0.779–1.003)	0.152
Patients with ≥ 2 previous AECOPD	0.211 (1.721–3.109)	0.153
Patients with ≥ 1 previous AECOPD requiring hospitalisation	4.746(0.463–48,642)	0.190

AECOPD which requires hospitalization, is an important prognostic factor in decreasing survival in all COPD stages (18). Soler-Cataluña et al. showed that mortality increases with the frequency of severe exacerbations requiring hospitalization(19). In the present study, the risk of mortality is higher in patients with hospitalized AECOPD in the previous year.

Hypoalbuminemia may occur in patients with chronic disease as a result of inadequate caloric intake and combined effects of inflammation. Many studies in the literature show that hypoalbuminemia increases mortality in COPD patients(3,20). Magnesium deficiency can lead to exacerbations of pulmonary diseases as it helps in alleviating bronchospasm(21). Bhatt et al. found an inverse relationship between serum magnesium level and the frequency of AECOPD(22). Hypomagnesaemia was correlated with increased length of ICU stay, and mortality rate(23). This study, nonsurvivors had lower albumin, Mg, and Ca levels.

Although many studies have been conducted to evaluate the relationship between uric acid and COPD, few have evaluated the relationship between serum uric acid concentration and mortality in COPD patients(24,25). In a study conducted by Zhang et al., hyperuricemia was shown to be a predictor of mortality in patients with COPD(26). Previous studies have shown that uric acid has a certain significance due to its antioxidant properties(27). However, uric acid also has pro-inflammatory properties, especially in patients with high serum uric acid levels(28). Hyperuricemia might worsen COPD by increasing inflammation and oxidative stress.

Our study has some limitations. First, it was a single-center study. In addition, it was subject to all of the drawbacks inherent to a retrospective study design, including the possibility that patient

histories were incomplete and that there were missing data related to factors that could influence the outcomes (e.g., Spirometry values). However, our data could lay the foundation for future studies.

Acute Exacerbations of COPD requiring IMV are related to high mortality in ICU. In the present study, hyperuricemia and high APACHE-II scores were independent factors associated with mortality for patients admitted to ICU for acute exacerbations of COPD.

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